

## Individual Disclosure of Voting Results (Votes Cast in Jul 2020 - Sep 2020)

## Listed Index Fund Japanese Economy Contributor Stocks

●This fund's voting decisions are disclosed for all proposals on which it has voted.

●Voting decisions based on Nikko AM's Guidelines on Exercising Voting Rights are shown as "Nikko AM Voting Decision". If a vote cast based on a guideline stipulated by a client differs from Nikko AM's voting decision, an asterisk (\*) is shown in "Comments".

Issuer Code	Issuer Name	Meeting Type	Meeting Date	Item No.	Sub-Item No.	Category	Proposed By	Nikko AM Voting Decision	Reasons for For or Against	Comments
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	1		Appropriation of surpluses	Company	FOR		
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	2	1	Election/Dismissal of directors	Company	FOR		
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	2	2	Election/Dismissal of directors	Company	FOR		
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	2	3	Election/Dismissal of directors	Company	FOR		
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	2	4	Election/Dismissal of directors	Company	FOR		
1414	SHO-BOND HOLDINGS CO.,LTD.	Annual	2020-09-25	2	5	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	1		Appropriation of surpluses	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	1	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	2	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	3	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	4	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	5	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	6	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	7	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	8	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	9	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	10	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	11	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	12	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	13	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	2	14	Election/Dismissal of directors	Company	FOR		
2593	ITO EN.LTD.	Annual	2020-07-28	3		Election/Dismissal of corporate auditors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	1		Revision to articles of incorporation	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	1	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	2	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	3	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	4	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	5	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	6	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	7	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	8	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	9	Election/Dismissal of directors	Company	AGAINST	Failed to meet Nikko AM's independence criteria	
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	10	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	11	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	2	12	Election/Dismissal of directors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	3		Election/Dismissal of corporate auditors	Company	FOR		
3391	TSURUHA HOLDINGS INC.	Annual	2020-08-11	4		Executive remuneration amount	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	1		Appropriation of surpluses	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	2		Revision to articles of incorporation	Company	AGAINST	Unable to recognize it as initiative to help enhance shareholder value	
3632	GREE,INC.	Annual	2020-09-29	3	1	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	2	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	3	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	4	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	5	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	6	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	7	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	8	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	3	9	Election/Dismissal of directors	Company	AGAINST	Failed to meet Nikko AM's independence criteria	
3632	GREE,INC.	Annual	2020-09-29	4	1	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	4	2	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	4	3	Election/Dismissal of directors	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	5		Executive remuneration amount	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	6		Executive remuneration amount	Company	AGAINST	Dilution and transfer restriction period, etc. failed to meet Nikko AM's criteria	
3632	GREE,INC.	Annual	2020-09-29	7		Executive remuneration amount	Company	FOR		
3632	GREE,INC.	Annual	2020-09-29	8		Other capital policy proposals	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	1		Revision to articles of incorporation	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	1	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	2	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	3	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	4	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	5	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	6	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	7	Election/Dismissal of directors	Company	FOR		
4716	ORACLE CORPORATION JAPAN	Annual	2020-08-21	2	8	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	1		Appropriation of surpluses	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	2		Other capital policy proposals	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	1	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	2	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	3	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	4	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	5	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	6	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	7	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	3	8	Election/Dismissal of directors	Company	FOR		
6028	TECHNOPRO HOLDINGS,INC.	Annual	2020-09-29	4		Election/Dismissal of corporate auditors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	1		Appropriation of surpluses	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	2		Revision to articles of incorporation	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	1	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	2	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	3	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	4	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	5	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	6	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	7	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	8	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	9	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	10	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	11	Election/Dismissal of directors	Company	FOR		
7733	OLYMPUS CORPORATION	Annual	2020-07-30	3	12	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	1	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	2	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	3	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	4	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	5	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	6	Election/Dismissal of directors	Company	FOR		

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Issuer Code	Issuer Name	Meeting Type	Meeting Date	Item No.	Sub-Item No.	Category	Proposed By	Nikko AM Voting Decision	Reasons for For or Against	Comments
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	7	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	8	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	9	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	10	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	11	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	12	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	13	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	14	Election/Dismissal of directors	Company	AGAINST	Failed to meet Nikko AM's independence criteria	
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	15	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	1	16	Election/Dismissal of directors	Company	FOR		
7911	TOPPAN PRINTING CO.,LTD.	Annual	2020-07-21	2		Election/Dismissal of corporate auditors	Company	FOR		
9627	AIN HOLDINGS INC.	Annual	2020-07-30	1		Appropriation of surpluses	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	1	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	2	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	3	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	4	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	5	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	6	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	7	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	8	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	9	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria: Attendance ratio failed to meet Nikko AM's criteria or information disclosure was insufficient	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	10	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	11	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	2	12	Election/Dismissal of directors	Company	AGAINST	Shareholder returns failed to meet Nikko AM's criteria: Failed to meet Nikko AM's independence	
9627	AIN HOLDINGS INC.	Annual	2020-07-30	3	1	Election/Dismissal of corporate auditors	Company	FOR		
9627	AIN HOLDINGS INC.	Annual	2020-07-30	3	2	Election/Dismissal of corporate auditors	Company	FOR		
9627	AIN HOLDINGS INC.	Annual	2020-07-30	3	3	Election/Dismissal of corporate auditors	Company	FOR		



