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**Press Release**

Nikko Asset Management Co., Ltd.

**Nikko Asset Management Wins Asia Pacific Equity ETF  
Management Firm Award for Fourth Year in the Last Five**

Nikko Asset Management (Nikko AM) has been named the Best Asia Pacific Equity ETF Manager at the 2014 ETF Express Awards, the company announced today.

The firm has won the award in four of the past five years, with over 1,600 readers taking part in the survey. Nikko AM's award comes from an industry recognition of their ETF offering from investors and wider market participants.

"There is renewed interest in the Japan story from investors around the world, and we are happy to provide them market access through our broad ETF line-up," said Koei Imai, Head of ETF Center of Nikko AM. "We are steadily expanding our product offering to meet the needs of investors in Japan and elsewhere."

The best managers in their respective asset class are chosen based on product innovation, performance, consistency, expert knowledge and transparency. Results are centered on a 'peer review system' that sees investors, managers, advisers and distributors and other industry participants nominate winners for each category. Firms are then subject to a final review by the ETF Express editorial team at which the winners are then named.

For further information, please visit the following websites.

ETF Express: <http://www.etfexpress.com>

GFM's official website: <http://www.globalfundmedia.com>

*\*This award is based on past performance and does not guarantee future investment performance.*

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## Nikko Asset Management ETFs

### ◆Japanese Equity

Area	Issue Code	Fund name	Index
Japan	1308	<a href="#">Listed Index Fund TOPIX</a>	TOPIX
	1314	<a href="#">Listed Index Fund S&amp;P Japan Emerging Equity 100</a>	S&P Japan Emerging Equity 100
	1316	<a href="#">Listed Index Fund TOPIX100 Japan Large Cap Equity</a>	TOPIX100
	1317	<a href="#">Listed Index Fund TOPIX Mid400 Japan Mid Cap Equity</a>	TOPIX Mid400
	1318	<a href="#">Listed Index Fund TOPIX Small Japan Small Cap Equity</a>	TOPIX Small
	1330	<a href="#">Listed Index Fund 225</a>	Nikkei 225
	1347	<a href="#">Listed Index Fund FTSE Japan Green Chip 35</a>	FTSE Japan Green Chip 35
	1544	<a href="#">Listed Index Fund Japan Equity (MSCI Japan)</a>	MSCI Japan
	1556	<a href="#">Listed Index Fund Nikkei China Related 50</a>	Nikkei China Related 50
	1578	<a href="#">Listed Index Fund Nikkei 225 (Mini)</a>	Nikkei 225
	1586	<a href="#">Listed Index Fund TOPIX Ex-Financials</a>	TOPIX Ex-Financials
	1592	<a href="#">Listed Index Fund JPX Nikkei Index 400</a>	JPX-Nikkei Index 400
	1698	<a href="#">Listed Index Fund Japan High Dividend (TSE Dividend Focus 100)</a>	Tokyo Stock Exchange Dividend Focus 100 Index

### ◆Foreign Equity

Area	Issue Code	Fund name	Index
US	1547	<a href="#">Listed Index Fund US Equity (S&amp;P500)</a>	S&P500
China	1322	<a href="#">Listed Index Fund China A Share (Panda) CSI 300</a>	CSI300
	1548	<a href="#">Listed Index Fund China H-share (Hang Seng China Enterprises)</a>	Hang Seng China Enterprises Index
India	1549	<a href="#">Listed Index Fund CNX Nifty Futures (India Equity)</a>	SGX CNX Nifty Index Futures
World ex Japan	1554	<a href="#">Listed Index Fund World Equity (MSCI ACWI) ex Japan</a>	MSCI ACWI ex Japan
Developed Countries	1680	<a href="#">Listed Index Fund International Developed Countries Equity (MSCI-KOKUSAI)</a>	MSCI-KOKUSAI
Emerging Countries	1681	<a href="#">Listed Index Fund International Emerging Countries Equity (MSCI EMERGING)</a>	MSCI Emerging Markets

### ◆REIT

Area	Issue Code	Fund name	Index
Japan	1345	<a href="#">Listed Index Fund J-REIT (Tokyo Stock Exchange REIT Index) Bi-Monthly Dividend Payment Type</a>	Tokyo Stock Exchange REIT Index
Australia	1555	<a href="#">Listed Index Fund Australian REIT (S&amp;P/ASX200 A-REIT)</a>	S&P/ASX200 A-REIT

### ◆Fixed Income

Area	Issue Code	Fund name	Index
Emerging Countries	1566	<a href="#">Listed Index Fund Emerging Bond (Barclays Local EM)</a>	Barclays Capital Emerging Markets Local Currency Government - 10% Country Capped Index
Developed Countries	1677	<a href="#">Listed Index Fund International Bond (Citigroup WGBI) Monthly Dividend Payment Type</a>	Citigroup Nonyen World Government Bond Index - Japanese Investment Trust

## ■ Risk Information

- Investment trust principal is not guaranteed and invests in assets for which prices fluctuate (assets denominated in foreign currencies also bear exchange rate risks), therefore market transaction prices and NAV will fluctuate. It is possible that the NAV will fall below the principal amount of your investment. Profits and losses made by the management of investment trusts all belong to the beneficiaries. Investment trusts differ from deposits and savings.
- Different investment trusts invest in different asset classes, markets, and countries, and contain different investment restrictions, so the details of their risks differ accordingly.

## ■ Outline of Fees, etc.

Investors must bear the following expenses:

### < Fees charged at the time of subscription and redemption >

- Purchase Application Fees: As stipulated by the distributors. Please contact your distributor for details.  
A tax equivalent will also be added to this amount.
- Redemption Fees: The distributors may charge beneficiaries a fee determined by each distributor, together with consumption tax thereon, upon redemption or repurchase of beneficiary interests.  
\* Please contact your distributor for details.
- Amount Retained in Trust Assets: Not applicable.

### < Fees and expenses charged indirectly through the trust assets (i.e. paid by the Fund) >

- Trust Fees: The trust fee is calculated by multiplying the total net asset value by up to 0.105%\* per annum (0.1% before tax).  
\* 0.108% when the consumption tax increases to 8%.
  - Other Expenses: Expenses for creating and delivering prospectuses, accounting expenses (including outsourcing fees), audit costs, listing fees and index royalties, will be paid out of the fund's trust assets with an amount reached by multiplying an annual rate of no greater than 0.1% on the fund's daily net asset value.  
Brokerage commissions on securities in the portfolio, interest on borrowings and advances, and fees related to securities lending are paid out of the fund's trust assets each time they are incurred (in the case of securities lending, the amount reached by multiplying 0.525\* (0.5 before tax) on the lending fee).  
\* 0.54 when the consumption tax increases to 8%.  
\*The rate or maximum amounts applicable to brokerage commissions on securities in the portfolio and other expenses cannot be disclosed in advance because the actual amount will vary depending on the state of the assets managed.
- \* The total amount of fees charged to the Fund will vary depending on the length of investment period and other factors. Therefore, we cannot give a specific total.
- \* For more detail, please refer to the investment trust explanatory document (prospectus distributed in advance of subscription).

### <Disclaimer>

- Fees, etc. listed are the highest rates among those charged to the ETFs managed by Nikko Asset Management (as of the date this document was created).
- The risk information and fees, etc. disclosed above are those typical for a general investment trust. Actual fees will vary for each specific investment trust. For more detail, please refer to the investment trust explanatory document (prospectus distributed in

advance of subscription).

■ **Other matters to consider**

- These marketing materials were prepared for the purpose of providing information on the ETFs managed by Nikko Asset Management and promoting investors' understanding of this Fund.
- The provisions stipulated in Article 37-6 of the Financial Instruments and Exchange Act (the cooling-off period) do not apply to transactions of the Fund.
- Unlike bank deposits or insurance policies, investment trusts are not protected by the Deposit Insurance Corporation or the Insurance Policyholders Protection Corporations.
- Any profit or loss derived from the management of investment trusts belongs to all the beneficiaries. Please read the related contract prior to investing in the Funds.

## About Nikko Asset Management

Nikko Asset Management (Nikko AM) is a leader in the Asian financial services industry, with over \$160 billion in assets under management as of 31 December 2013. Established in 1959, the firm has 22 offices in 10 countries and enjoys one of the largest distributor networks in the region, serving both retail and institutional clients. More than 300 banks, brokers, financial advisors and life insurance companies distribute the company's products.

Nikko AM manages a wide range of equity and fixed income strategies in both active and passive formats, leveraging the talents of more than 270 investment professionals. In 2013 alone, Nikko AM won awards for excellence in asset management from Lipper, Mercer, AsianInvestor, R&I, among others.

The company's management team is highly diverse and experienced, and is committed to running the company according to international best practices. Nikko AM's independence from the limitations imposed on many captive asset management companies allows it to focus on the interests of clients. At the same time, the company enjoys a stable base of shareholders, with majority ownership held by Sumitomo Mitsui Trust Holdings and a smaller stake by DBS Bank.

For more information, please visit [www.nikkoam.com/english](http://www.nikkoam.com/english)

\* Consolidated assets under management and subadvisory of Nikko AM and its subsidiaries as of 31 December 2013. "Region" excludes firms with more than 75% of AUM sourced from a single country.